

HINDCASTING AND NOWCASTING THE PHYSICAL AND BIOLOGICAL STATE OF THE CALIFORNIA CURRENT SYSTEM

CHRISTOPHER A. EDWARDS,
ANDREW M. MOORE, J. PAUL MATTERN,
AND JEROME FIECHTER
Ocean Sciences Department
University of California, Santa Cruz, CA

HAJOON SONG
Department of Earth, Atmospheric and
Planetary Sciences
Massachusetts Institute of Technology
Cambridge, MA

MICHAEL G. JACOX
Institute of Marine Sciences
University of California, Santa Cruz, CA and
Environmental Research Division
Southwest Fisheries Science Center, NOAA
Monterey, CA

Assessing the past or present state of the ocean and predicting its future state are challenging enterprises. Observing activities are expensive, and as a result the ocean is woefully undersampled relative to important scales of variability spanning several orders of magnitude (from meters to hundreds of kilometers) in space and hours to decades in time. Numerical ocean models offer a relatively inexpensive alternative to observational sampling, and provide fully 4-dimensional representation of ocean fields and governing processes to better understand field distributions and changes. Yet numerical ocean models offer imperfect representations of nature for many unavoidable reasons, including errors in model initial conditions, forcing fields, model parameterizations, and discretization of the model on a finite grid. In ocean state estimation modelers use methods of data assimilation to rigorously adjust control variables (e.g., model initial conditions or forcing fields) to reduce discrepancies between model fields and observations (Edwards et al. 2015).

The widely-used Regional Ocean Modeling System (ROMS; Shchepetkin and McWilliams 2005) includes an advanced 4-dimensional variational data assimilation capability (Moore et al. 2011a) that has been applied in various California Current system configurations. The UC Santa Cruz Ocean Modeling Group implementation consists of a domain extending from 30°N to 48°N (Baja California, Mexico, to near Puget Sound, Washington) and offshore to 134°W, resolved at 1/10 degree, with 42 terrain-following levels spanning the water column (Broquet et al. 2010, Moore et al. 2011b, 2013). Experience assimilating a variety of physical data types has shown that the system produces ocean state estimates with reduced root-mean-square error of both assimilated and unassimilated observations relative to unconstrained model output (Broquet et al. 2009). Forecast-like calculations in which the final state of one assimilation cycle is used as an initial state for an unconstrained forecast indicate that model skill is sustained beyond the period of assimilation alone.

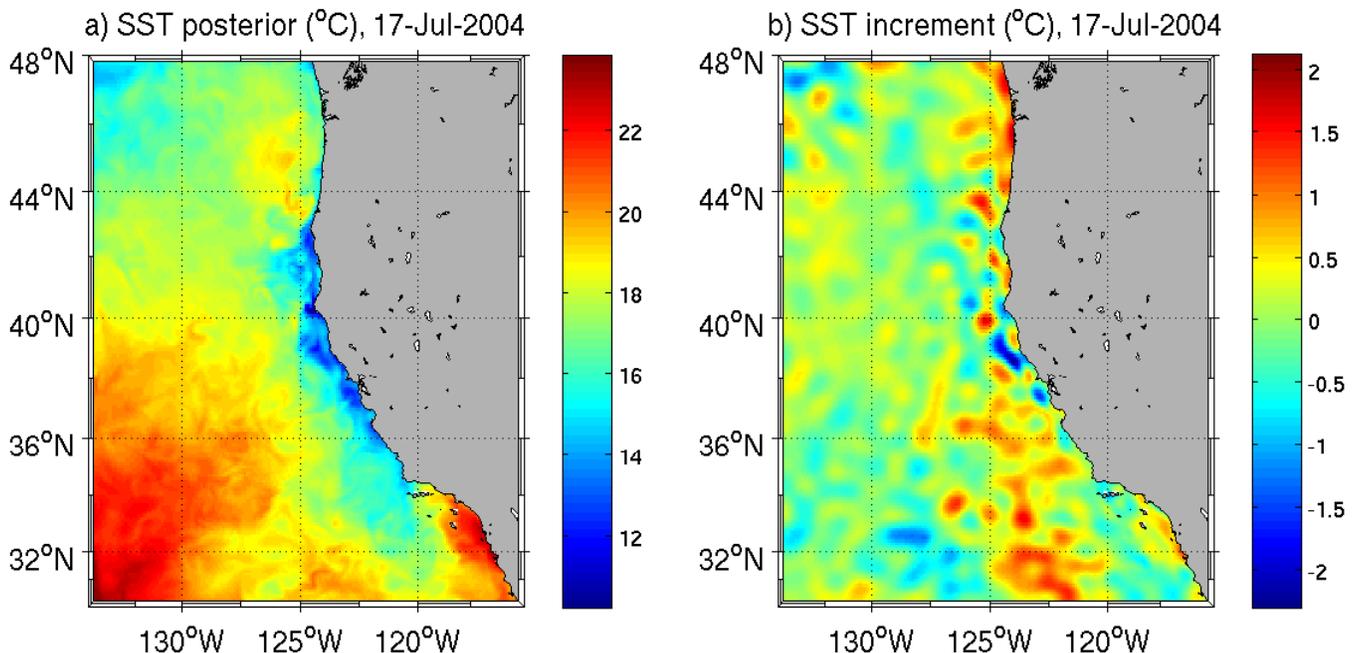


Figure 1. (a) Reanalysis sea surface temperature (SST) on July 17, 2004. (b) Corrections to the prior estimate of SST that were calculated through data assimilation and resulted in the field shown in (a).

A set of data assimilative reanalyses using the UCSC ROMS 4D-Var configuration has been calculated for the CCS (Neveu et al. 2015; Crawford et al. 2015). This product assimilated sea surface temperature (SST) from multiple satellite platforms, satellite-derived sea surface height, and in situ hydrography from various sources in a series of 8-day cycles extending from 1980 to 2010. Lateral boundary conditions were derived from the SODA global ocean state estimate (Carton and Giese 2008). Surface forcing was provided by a combination of CCMP winds (Atlas et al. 2011) and other atmospheric fields from the ERA40 (Källberg et al. 2004) and ERA-Interim (Dee et al. 2011) products. This model output represents a best estimate 31-year, hindcast of the physical state of the California Current, and is served by the UC Ocean Modeling Group for analysis (<http://oceanmodeling.ucsc.edu>).

Example output from a reanalysis assimilation cycle is shown in Figure 1. SST on this date exhibits cold upwelled water along the central California coast, with warm water bathing the Southern California Bight. Largely mesoscale corrections to a prior estimate, ranging up to about 2 degrees, result in this posterior state estimate.

This set of reanalyses has several applications that may be of interest to the CalCOFI community. It can be used for evaluation of fundamental physical processes. For example, upwelling within the CCS is challenging to observe directly, and in the absence of other information, a coastal upwelling index based on Ekman theory is often used as a proxy (Bakun 1973). The reanalyses reveal that modeled upwelling transport is reasonably approximated by the upwelling index north of about 39°N, but is poorly represented south of this latitude (fig. 2; Jacox et al. 2014). Actual upwelling transport differs from Ekman transport in regions where cross-shore geostrophic transport encounters the coastal boundary (Marchesiello and Estrade 2010). Reduction of the reanalyses into empirical orthogonal functions reveals anomalous nearshore upwelling transport whose principal component relates to large-scale climate indices such as the NPGO and PDO (Jacox et al. 2014).

Ocean state estimates can also be used to provide context for fisheries studies. Schroeder et al. (2014) evaluated the reanalyses against data collected from the NMFS Rockfish Recruitment and Ecosystem Assessment Surveys. That study identified correlations between biological stocks (juvenile rockfish and krill) and physical variables from the reanalyses such as the depth of the 26.0 kg/m³ isopycnal surface.

Ocean data assimilation is increasingly becoming a routine activity in global and many regional ocean environments. To date, however, most research has focused on physical data assimilation in which ocean currents, tem-

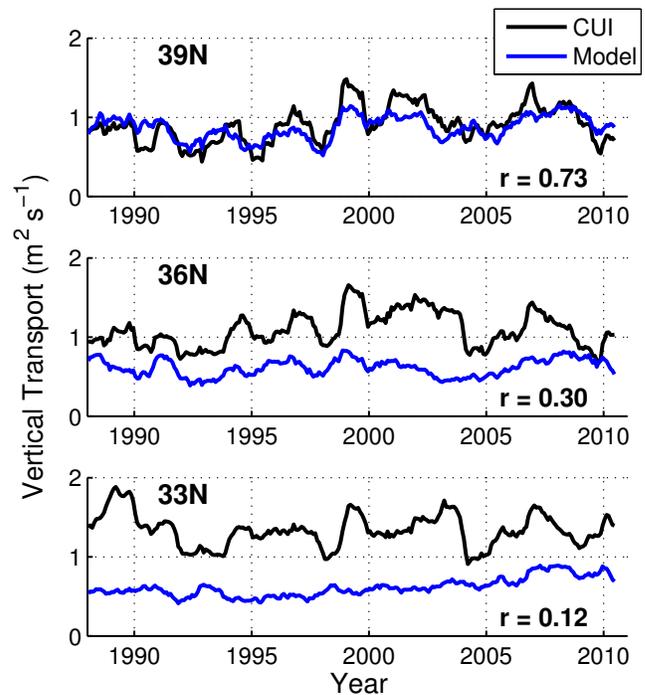


Figure 2. 12-month running means of upwelling estimates from the NOAA Coastal Upwelling Index (CUI, black) and the ROMS-CCS model (blue) of Moore et al. (2013). Model transports are integrated from the coast to 200 km offshore, averaged over 3° of latitude for consistency with the CUI, and calculated as transport across a depth level of 40 m. Adapted from Jacox et al. (2014).

perature, salinity, and sea surface height are constrained by observations. Exciting developments are underway to extend this capability to biogeochemical variables. The UC Santa Cruz Ocean Modeling Group has implemented a form of 4D-Var that accounts for the differing statistics of ecosystem variables relative to physical variables. Chlorophyll in the ocean has been shown to be better represented by lognormal statistics than by Gaussian distributions (Campbell 1995). The coupled physical-biogeochemical assimilation system incorporates surface chlorophyll, and offers considerable promise for hindcasting and nowcasting the combined physical and lower trophic level biological ocean state (Song et al. in prep.).

LITERATURE CITED

- Atlas, R., R. N. Hoffman, J. Ardizzone, S. M. Leidner, J. C. Jusem, D. K. Smith, and D. Gombos. 2011. A cross-calibrated, multiplatform ocean surface wind velocity product for meteorological and oceanographic applications. *Bull. Amer. Meteor. Soc.*, 92, 157–174. doi: 10.1175/2010BAMS2946.1.
- Bakun, A. 1973. Coastal upwelling indices, west coast of North America, 1946–71, NOAA Tech. Rep., NMFS SSRF-671, 103 pp., U.S. Dep. of Commer.
- Broquet, G., A. M. Moore, H. G. Arango, and C. A. Edwards. 2010. Corrections to ocean surface forcing in the California Current System using 4D variational data assimilation, *Ocean Mod.* 36, doi:10.1016/j.ocemod.2010.10.005.
- Broquet G., A. M. Moore, H. G. Arango, C. A. Edwards, and B. S. Powell. 2009. Ocean state and surface forcing correction using the ROMS-IS4DVAR data assimilation system, *Mercator Ocean Quarterly Newsletter*, Mercator Ocean Quarterly Newsletter, 34, pp. 5–13.

- Broquet G., C. A. Edwards, A. M. Moore, B. S. Powell, M. Veneziani, and J. D. Doyle. 2009. Application of 4D-Variational data assimilation to the California Current System, *Dyn. Atmos. Oceans*, doi:10.1016/j.dynatmoce.2009.03.001.
- Carton, J.A., and B. S. Giese. 2008. A reanalysis of ocean climate using simple ocean data assimilation (SODA). *Mon. Weather Rev.*, 136, 2999–3017.
- Campbell, J.W. 1995. The lognormal distribution as a model for bio-optical variability in the sea. *J. Geophys. Res.* 100 (C7), 13237–13254.
- Crawford, W., A. M. Moore, M. G. Jacox, E. Neveu, J. Fiechter, and C. A. Edwards. 2015. An historical analysis of the California Current using ROMS 4D-Var. Part II: Climate variability. *Ocean Modelling*, submitted.
- Dee, D. P., S. M. Uppala, A. J. Simmons, P. Berrisford, P. Poli, S. Kobayashi, U. Andrae, M. A. Balmaseda, G. Balsamo, P. Bauer, P. Bechtold, A. C. M. Beljaars, L. van de Berg, J. Bidlot, N. Bormann, C. Delsol, R. Dragani, M. Fuentes, A. J. Geer, L. Haimberger, S. B. Healy, H. Hersbach, E. V. Hólm, L. Isaksen, P. Källberg, M. Köhler, M. Matricardi, A. P. McNally, B. M. Monge-Sanz, J.-J. Morcrette, B.-K. Park, C. Peubey, P. de Rosnay, C. Tavolato, J.-N. Thépaut and F. Vitart. 2011. The ERA-Interim reanalysis: configuration and performance of the data assimilation system, *Q. J. R. Meteorol. Soc.*, 137, 553–597, doi: 10.1002/qj.828.
- Edwards, C.A., A. M. Moore, I. Hoteit and B. D. Cornuelle. 2015. Regional ocean data assimilation. *Annu. Rev. Mar. Sci.*, 7, 6.1–6.22, doi: 10.1146/annurev-marine-010814-015821.
- Jacox, M. G., A. M. Moore, C. A. Edwards, and J. Fiechter. 2014. Spatially resolved upwelling in the California Current System and its connections to climate variability, *Geophys. Res. Lett.*, 41, 3189–3196, doi:10.1002/2014GL059589.
- Källberg, P., A. Simmons, S. Uppala, and M. Fuentest. 2004. The ERA-40 Archive. ERA-40, Project Report Series No. 17.
- Marchesiello, P., and P. Estrad. 2010. Upwelling limitation by onshore geostrophic flow, *J. Mar. Res.*, 68, 37–62, doi:10.1357/002224010793079004.
- Moore, A.M., C. A. Edwards, J. Fiechter, P. Drake, H. G. Arango, E. Neveu, S. Guro, and A.T. Weaver. 2013. A 4D-Var Analysis System for the California Current: A Prototype for an Operational Regional Ocean Data Assimilation System. *In* "Data Assimilation for Atmospheric, Oceanic and Hydrological Applications, Vol. II," Liang Xu and Seon Park, Eds. Springer, Chapter 14, 345–366.
- Moore, A. M., H. G. Arango, G. Broquet, B. S. Powell, A. T. Weaver, and J. Zavala-Garay. 2011a. The Regional Ocean Modeling System (ROMS) 4-dimensional variational data assimilation systems: Part I—System overview and formulation, *Prog. Oceanogr.*, 91, 34–49.
- Moore, A. M., H. G. Arango, G. Broquet, C. Edwards, M. Veneziani, B. Powell, D. Foley, J. D. Doyle, D. Costa, and P. Robinson. 2011b. The Regional Ocean Modeling System (ROMS) 4-dimensional variational data assimilation systems: Part II—Performance and application to the California Current System, *Prog. Oceanogr.*, 91, 50–73.
- Neveu, E., A. M. Moore, C. A. Edwards, J. Fiechter, P.T. Drake, M. G. Jacox, and E. Nuss. 2015. An historical analysis of the California Current using ROMS 4D-Var. Part I: System configuration and diagnostics. *Ocean Modelling*, submitted.
- Schroeder, I. D., J. A. Santora, A. M. Moore, C. A. Edwards, J. Fiechter, E. L. Hazen, S. J. Bograd, J. C. Field, and B. K. Wells. 2014. Application of a data-assimilative regional ocean modeling system for assessing California Current System ocean conditions, krill, and juvenile rockfish interannual variability, *Geophys. Res. Lett.*, 41, 5942–5950, doi:10.1002/2014GL061045.
- Shchepetkin, A. F., and J. C. McWilliams. 2005. The regional oceanic modeling system (ROMS): A split-explicit, free-surface, topography-following-coordinate oceanic model, *Ocean Modell.*, 9, 347–404.
- Song, H., C. A. Edwards, A. M. Moore, J. Fiechter. In prep. Incremental, log-normal 4D-Var data assimilation into a coupled physical-ecosystem model of the California Current System: Part 3, Realistic assimilation of physical and biological data.